SECTOR \$

Parameter Control of C

ÅPTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 Please type a plus sign (+) inside this box -> [+] U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 09/693,204 TRANSMITTAL FEB 0 9 Filing Date 10/19/2000 **FORM First Named Inventor** WARRINGTON to be used for all correspondence after initial filing) Group Art Unit 1645 TBD **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 3240.1 ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Philip L. McGarrigle, Reg. No. 31,395 Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: Typed or printed name Doreen Loffredo Signature 2/2/2001

Burden Hour Statement: This form is estimated to take 10 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (11-00)
Appro or use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

SEEE TO ANOMITTAL	Complete if Known				
ON E FEE TRANSMITTAL	Application Number	09/693,204			
for FY 2001	Filing Date	10/19/2000			
the E	First Named Inventor	WARRINGTON			
Patent fees are subject to annual revision.	Examiner Name	TBD			
& TRADENIE	Group / Art Unit	1645			
TOTAL AMOUNT OF PAYMENT (\$) 170	Attorney Docket No.	3240.1			

TOTAL AMOUNT OF PATMENT (4) 170					7 1110111	Altorney Bocket No.						
METHOD OF PAYMENT (check one)									FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:				3. ADDITIONAL FEES Large Entity		Small Entity						
Deposit Account O1-0431 Number		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid					
		105	130	205	65	Surcharge - late filing fee or oath	130					
Deposit						127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Account AFFYMETRIX, INC.			139	130	139	130	Non-English specification					
Name			147	2,520	147	2,520	For filing a request for reexamination					
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17							112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
☐ Applicant claims small entity status. See 37 CFR 1.27							1113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Payment Enclosed:							115	110	215	55	Extension for reply within first month	
☐ Check ☐ Credit card ☐ Money ☐ Other						116	390	216	195	Extension for reply within second month		
Order						117	890	217	445	Extension for reply within third month		
FEE CALCULATION  1. BASIC FILING FEE							118	1,390	218	695	Extension for reply within fourth month	
Large Entity Small Entity							128	1,890	228	945	Extension for reply within fifth month	
Fee	•		•	Fee Description	1		119	310	219	155	Notice of Appeal	
Code			(\$)		Fee	Paid	120	310	220	155	Filing a brief in support of an appeal	
101				Utility filing fee			121	270	221	135	Request for oral hearing	
106 107				Design filing fee Plant filing fee	· ·			1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208	355 I	Reissue filing fee	э .		140	110	240	55	Petition to revive – unavoidable	
114	150	214	75	Provisional filling	fee		141	1,240	241	620	Petition to revive – unintentional	
						142	1,240	242	620	Utility issue fee (or reissue)		
SUBTOTAL (1) (\$) 0						0	143	440	243	220	Design issue fee	
2. EXTR	A CLAII	VI FEES					144	600	244	300	Plant issue fee	
				Extra F	ee from	Fee	122	130	122	130	Petitions to the Commissioner	
Total Claim	ns	-20	o = [	Claims b	elow =	Paid 0	123	130	123	130	Petitions related to provisional applications	
independen Claims	t	-3	=	0 X	=	0	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent	L		-	x [	=	0	581	40	581	40	Recording each patent assignment per property (times number of properties)	40
Large Fee	Entity Fee	Small Fee	Entity Fee				146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	(\$)	Code	(\$)	Fee Descript	ion		149	710	249	355	For each additional invention to be	
103	18	203	9	Claims in exc							examined (37 CFR § 1.129(b))	
102	80	202	40	-	claims in excess		179	710	279	355	Request for Continued Examination (RCE)	
104	270	204	135		ndent claim, if n	•	169	900	169	900	•	<b></b>
109	80	209	40	original paten			169 900 169 900 Request for expedited examination of a design application					
110 18 210 9 *** Reissue claims in excess of 20 and over original patent												
SUBTOTAL (2) (\$) 0 Other fee (specify)												
**or number previously paid if greater. For Poissons, and phoug					*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 170							
**or number previously paid, if greater; For Reissues, see above												

SUBMITTED BY Complete (if applicable)									
Name (Print/Type)	Philip L. McGarrigle	Registration No. Attorney/Agent)	31,395	Telephone	(408) 731-5021				
Signature	Blilin W	· Lila		Date	02/02/2001				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.









## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C. 2023

WWW.usofo.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/693,204

10/19/2000

Janet A. Warrington

3240,1

22886 AFFYMETRIX, INC 3380 CENTRAL EXPRESSWAY SANTA CLARA, CA 95051





Date Mailed: 12/12/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Haimanut Tezbany

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2001 BNGUYEN1 00000056 010431 09693204

01 FC:105 130.00 CH